

## PLAN AMENDMENT SUBMISSION FORM

This return should be used to file any amendment not requiring the Superintendent's authorization. For additional information, please refer to the Instruction Guide for Filing Pension Plan Amendments using the Regulatory Reporting System (RRS). This guide, along with requirements for other types of approvals and applications can be found on the Application and Approvals page in the Private Pension Plans section on the OSFI website.

1. Effective date of the amendment (YYYY-MM-DD):  \*

2. Amendment number (if applicable):

3. Does the amendment concern (check all that apply):

- a) Change of Plan Name and/or Plan Sponsor  Yes  No \*
- b) Change of Plan year end date  Yes  No \*
- c) Change of the Pension Fund Custodian  Yes  No \*
- d) Changes to benefits or contributions  Yes  No \*

**Note: Do not use the characters "#" or "&" in the name of any files being uploaded in the questions below.**

4. Amendment Information Form (OSFI 593 or OSFI 594) [Mandatory]

*Please upload your file using the Browse button below*	
File Upload:	<input type="button" value="Browse"/> *

5. Plus one or more of the following:

a) Plan Amendment

*Please upload your file using the Browse button below*	
File Upload	<input type="button" value="Browse"/>

b) Restated Plan Text

*Please upload your file using the Browse button below*	
File Upload	<input type="button" value="Browse"/>

c) Trust Agreement

*Please upload your file using the Browse button below*	
File Upload	<input type="button" value="Browse"/>

d) Custodial Agreement

*Please upload your file using the Browse button below*	
File Upload	<input type="button" value="Browse"/>

e) Collective Agreement

*Please upload your file using the Browse button below*	
File Upload	<input type="button" value="Browse"/>

6. Plus, if applicable:

a) Board resolution/authorization of the amendment (if not included in another uploaded document)

*Please upload your file using the Browse button below*	
File Upload	<input type="button" value="Browse"/>

b) Other

*Please upload your file using the Browse button below*	
File Upload	<input type="button" value="Browse"/>

SAMPLE